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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

330

Application Number

10/655,948

Filing Date

09/05/2003

First Named Inventor

Mohamed Nourmohamadian

Art Unit

2188 2185

Examiner Name

Padmanabhan, Mano

Attorney Docket Number

ULTERA.008A

Carpos, Yaima

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	See "Remarks" for complete itemization of this submission
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	- Transmittal Form in 1 page - Information Disclosure Statement in 1 page; - Form PTO/SB/08A in 1 page - Form PTO/SB/08B in 1 page - Copies of 10 Cited References in 326 pages	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	LAW OFFICE OF GLENN R. SMITH
Signature	<i>Glenn R. Smith</i>
Date	11/18/2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Glenn R. Smith
Signature	<i>Glenn R. Smith</i>
Date	11/18/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTERA.008A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mohamad Nourmohamadian) Group Art Unit 2188
Appl. No. : 10/655,948)
Filed : September 05, 2003)
For : VIRTUAL TAPE STACKER)
Examiner : Padmanabhan, Mano)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

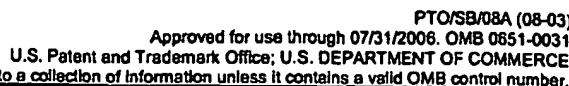
In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97-1.98, it is requested that the references listed on the attached forms PTO/SB/08A-B be made of record in the above-identified application. This submission is filed in accordance with 37 CFR 1.97(b)(3) before the mailing date of a first office action on the merits. Copies of the ten cited references are also attached herewith.

Respectfully submitted,

LAW OFFICE OF GLENN R. SMITH

Dated: 11/18/2004

By: Glenn R. Smith
Glenn R. Smith, Esq., Reg. No. 38,308
Attorney of Record
28626 Brookhill Road
Trabuco Canyon, CA 92679-1163
Telephone/Fax: (949) 709-7164



Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/655,948
Filing Date	09/05/2003
First Named Inventor	Mohamad Nourmohamadian
Art Unit	2188
Examiner Name	Radmanabhan, Manoj <i>Cumpos</i>
Attorney Docket Number	ULTRA.008A

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

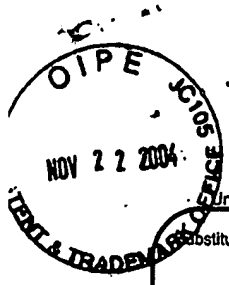
[illegible]

Examiner Signature	<i>[Signature]</i>	Date Considered	10/20/05
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/088 (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/655,948		
		Filing Date	09/05/2003		
		First Named Inventor	Mohamad Nourmohamadian		
		Art Unit	2188		
		Examiner Name	Padmanabhan, Manoj - Campos		
Sheet	2	of	2	Attorney Docket Number	ULTERA.008A

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
YC		ULTERA SYSTEMS, INC.; Striper 4 Tape Series Tape Array Controller -- User Guide May 2002; Ultera Systems, Inc., Laguna Hills, CA	
YC		ULTERA SYSTEMS, INC.; Simply The Fastest Most Reliable Backup/Restore Solution Available 2002; Ultera Systems, Inc., Laguna Hills, CA	
YC		QUANTUM CORPORATION; Enhanced Backup Solutions -- Quantum DX 30 November 2002; Quantum Corporation, Irvine, CA	

Examiner Signature		Date Considered	10/20/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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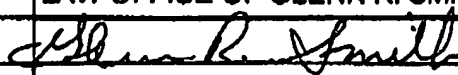
PTO/RSB/21 (09-04)

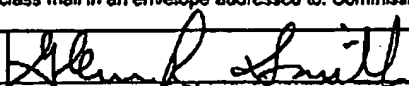
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/655,948	
	Filing Date	09/05/2003	
	First Named Inventor	Mohamad Nourmohamadian	
	Art Unit	2488 2185	
	Examiner Name	Campos, Yaima	
Total Number of Pages in This Submission	2	Attorney Docket Number	ULTERA.008A

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.62 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): See "Remarks" for complete itemization of this submission
Remarks - Transmittal Form in 1 page; - Supplemental Information Disclosure Statement in 1 page.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	LAW OFFICE OF GLENN R. SMITH		
Signature			
Printed name	Glenn R. Smith		
Date	12/23/2004	Reg. No.	38,308

CERTIFICATE OF TRANSMISSION/MAILING	
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Signature	
Typed or printed name	Glenn R. Smith
Date	12/23/2004

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ULTERA.008A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Mohamad Nourmohamadian et al.)
Appl. No. : 10/655,948)
Filed : September 05, 2003)
For : VIRTUAL TAPE STACKER)
Examiner : Padmanabhan, Mano)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


This submission is filed in accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97-1.98 and, in particular, in accordance with 37 CFR 1.97(b)(3) before the mailing date of a first office action on the merits. The following applications, including the above-cited application, all filed 09/05/2003 by the above-stated Applicants, have overlapping disclosures: U.S. Application No. 10/655,764 entitled *Virtual Tape System*; U.S. Application No. 10/655,763 entitled *Tape Storage Emulator*; and U.S. Application No. 10/655,948 entitled *Virtual Tape Stacker*.

Respectfully submitted,

LAW OFFICE OF GLENN R. SMITH

Dated: 12-23-2004

By:


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Attorney of Record
28626 Brookhill Road
Trabuco Canyon, CA 92679-1163
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YC 10/20/05